

PwC Plus Article

By ESMA - European Securities and Markets Authority | 31. März 2017

ESMA updated Q&A Relating to the provision of CFDs and other speculative products to retail investors under MiFID

This Q&A includes a new section 10 – pages 84-96 – and provides clarifications.

Schlagwörter

Asset & Wealth Management Aufsicht, Bankenaufsicht (Europäische und Internationale Organisationen), Compliance, Contracts for Difference (CFDs), MiFID, MiFID II

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

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