

PwC Plus Article

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IOSCO identifies risks to retail investors of OTC leveraged products

The International Organization of Securities Commissions (IOSCO) issued today a report that identifies various risks related to the marketing and sale of complex OTC leveraged products to retail investors, and describes how some regulators are responding to the challenges these products present.

Schlagwörter

Bankenaufsicht (Europäische und Internationale Organisationen), Compliance, Retail Banking, Wertpapieraufsicht (International)

FS-Branche(n)

Banking & Capital Markets

Themen

Risk & Regulation

Verfasser

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