

PwC Plus Article

By EBA - European Banking Authority | 29. September 2017

EBA issues Opinion on the design of a new prudential framework for investment firms

The Opinion includes a series of recommendations aiming to develop a single and harmonised set of requirements that are reasonably simple, proportionate and relevant to the nature of investment firms authorised to provide MiFID services and activities.

Schlagwörter

Bankenaufsicht (Europäische und Internationale Organisationen), Compliance, Corporate Governance, Investmentgesellschaften, MiFID II, investment firms

FS-Branche(n)

Banking & Capital Markets

Themen

Risk & Regulation

Verfasser

EBA - European Banking Authority