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PwC Plus Article

By ESMA - European Securities and Markets Authority | 12. Juli 2019

ESMA addresses derivatives trading obligation concerns following entry into force of EMIR Refit

The statement advises NCAs not to prioritise their supervisory actions in relation to the DTO towards counterparties exempted from the CO following the entry into force of EMIR Refit.

Schlagwörter

Compliance, Derivate, EMIR (European Markets Infrastructure Regulation), MiFID, Trading

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority