

PwC Plus Article

By ESMA - European Securities and Markets Authority | 05. Dezember 2019

ESMA publishes proposals on CRAs internal control functions

The proposed Guidelines set out ESMA's views on the components and characteristics that CRAs should have in place to demonstrate the presence of an effective framework for internal controls and effective internal control functions.

Schlagwörter

Compliance, Ratingagenturen (International)

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority