

PwC Plus Article

By ESMA - European Securities and Markets Authority | 12. Dezember 2019

ESMA calls for strengthened supervision on suspicious transaction reporting

The Report sees a significant increase in suspicious transaction and order reporting and finds that national supervisors can do more to ensure all financial participants play their part in combatting market abuse.

Schlagwörter

Compliance, Marktmissbrauch (International)

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority