

PwC Plus Article

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ESMA consults on procedural rules to impose penalties on supervised entities

The consultation deals with specific aspects of the procedural rules for imposing fines and penalties to TC-CCPs, TRs and CRAs, with the aim of aligning the three sets of rules.

Schlagwörter

CCP (Central Counter Party), Compliance, Ratingagenturen (International), Trading

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority