

PwC Plus Article

By ESMA - European Securities and Markets Authority | 20. März 2020

ESMA clarifies position on call tapping under MIFID II

Firms are expected to deploy all possible efforts to ensure that the above measures remain temporary and that recording of telephone conversations is restored as soon as possible.

Schlagwörter

Coronavirus (COVID-19), Finanzmarkt, MiFID II, Wertpapieraufsicht (International)

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority