

PwC Plus Article

By ESMA - European Securities and Markets Authority | 01. April 2020

ESMA advises the European Commission on inducements and costs and charges disclosures

In the advice, ESMA encourages the EC to conduct further analysis on the topic of inducements, which is key for the protection of investors, and proposes some changes to the regime mainly aimed at improving the clients' understanding of inducements.

Schlagwörter

Bankenaufsicht (Europäische und Internationale Organisationen), Compliance (Corporate), MiFID II

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

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