

## PwC Plus Article

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# ESMA publishes first report on use of sanctions under the AIFMD

**The number of NCAs issuing sanctions increased between the reporting periods, from 14 in 2018 to 17 in 2019.**



## Schlagwörter

Alternative Investment Fund Managers Directive (AIFMD), Alternative Investmentfonds (AIF), Compliance, Finanzsanktionen, Fonds, UCITS / OGAW, Wettbewerb

## FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

## Themen

Risk & Regulation

## Verfasser

ESMA - European Securities and Markets Authority