

PwC Plus Article

By ESMA - European Securities and Markets Authority | 12. Dezember 2019

ESMA issues second pan-EU overview on the use of supervisory sanctions for UCITS

This report covers the year 2018.

Schlagwörter

Alternative Investmentfonds (AIF), Compliance, Finanzsanktionen, Fonds, UCITS / OGAW, Wettbewerb

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority