

PwC Plus Article

By ESMA - European Securities and Markets Authority | 29. Januar 2021

ESMA consults on appropriateness and execution-only under MiFID II

These requirements constitute an important element of investor protection in the provision of investment services other than investment advice or portfolio management.

Schlagwörter

Compliance, Framework, MiFID II

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority