

PwC Plus Article

By ESMA - European Securities and Markets Authority | 24. Februar 2021

ESMA publishes second Annual Report on waivers and deferrals for non-equity instruments

This report includes an analysis of the waivers for non-equity instruments for which ESMA issued an opinion to the NCAs in the period between 1 January and 31 December 2019.

**Schlagwörter**

Aktien, Compliance, MiFID, MiFIR, Waiver-Regelung

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority