

PwC Plus Article

By ESMA - European Securities and Markets Authority | 29. März 2021

ESMA advises the European Commission on the application of sanctions under MiFID II/MiFIR

The technical advice addresses the application of administrative and criminal sanctions, and particularly the need to further harmonise the administrative sanctions set out for infringements of MiFID II/MiFIR requirements.

Schlagwörter

Finanzsanktionen, MiFID II, MiFIR

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

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