

## PwC Plus Article

By ESMA - European Securities and Markets Authority | 19. Juli 2021

# ESMA finds NCAs imposed sanctions of €8.4 million for MiFID II breaches in 2020

**NCAs submit data on the use of sanctions to ESMA, which forms the basis of the annual aggregated report.**

**Schlagwörter**

Anlegerschutz, Compliance, Finanzinstrumente, MiFID II

**FS-Branche(n)**

Banking & Capital Markets, Asset & Wealth Management

**Themen**

Risk & Regulation

**Verfasser**

ESMA - European Securities and Markets Authority