

PwC Plus Article

By ESMA - European Securities and Markets Authority | 21. November 2022

ESMA proposes amendment to simplify cash penalties process for cleared transactions under CSDR

The proposed amendment would remove the CCP-run separate process established in Article 19 of the RTS for the collection and distribution of cash penalties for settlement fails on cleared transactions.

Schlagwörter

Abwicklung, Asset & Wealth Management Aufsicht, CCP (Central Counter Party), Central Securities Depositories (CSD) / Zentralverwahrer, Central Securities Depositories Regulation (CSDR), Clearing, Compliance, Trading, Wertpapieraufsicht (International)

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority