

PwC Plus Article

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ESMA provides guidance for supervision of cross-border activities of investment firms

The free provision of services in the EU rests on the supervision of the home NCA and on the cooperation between home and host supervisors.

Schlagwörter

Asset & Wealth Management Aufsicht, Bankenaufsicht (Europäische und Internationale Organisationen), Finanzmarkt, MiFID II, Wertpapier, Wertpapieraufsicht (International), investment firms

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority