

PwC Plus Article

By ESMA - European Securities and Markets Authority | 25. Mai 2023

ESMA highlights risks arising from investment firms providing unregulated products and services

The European Securities and Markets Authority (ESMA, the EU's financial markets and securities regulator, today issued a public statement to warn investors of risks that arise when investment firms offer both regulated and unregulated products and/or services.

Schlagwörter

Anlegerschutz, Finanzinstrumente, MiFID II, Risk Management Asset and Wealth Management, Wertpapieraufsicht (International), investment firms

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority