

PwC Plus Article

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Governance, Risk & Compliance | Asset & Wealth Management

Schlagwörter

Alternative Investment Fund Managers Directive (AIFMD), Alternative Investmentfonds (AIF), Anti money laundering (AML), Asset & Wealth Management, Asset & Wealth Management Aufsicht, Basel III, Benchmark, Capital Requirements Directive (CRD IV), Capital Requirements Regulation (CRR), Central Securities Depositories (CSD) / Zentralverwahrer, Compliance, Credit Default Swaps (CDS), Credit Rating Agency Regulation (CRAR), Cryptocurrencies (Virtual Currencies), Derivate, Digital Operational Resilience Act (DORA), Digitalisierung, EMIR (European Markets Infrastructure Regulation), European Long-term Investment Funds (ELTIF), Exchange-Traded Funds (ETF), Finanzinstrumente, Finanzmarkt, Fonds, Geldwäschegesetz (GwG), Geldwäscheprävention, Investmentsteuerrecht, Kapitalanlagegesetzbuch (KAGB), Kapitalverwaltungsgesellschaft, Leerverkäufe / Short Selling, Liquiditätsrisiken, MaComp (Mindestanforderungen an die Compliance-Funktion und weitere Verhaltens-, Organisations- und Transparenzpflichten), MaRisk (Mindestanforderungen an das Risikomanagement), MiFID II, MiFIR, Packaged Retail and Insurance-based Investment Products (PRIIP), Risk Management Asset and Wealth Management, Securities financing transactions (SFTs), Solvency II, Sustainable Finance (SF), UCITS / OGAW, Vermögensverwaltung, Wertpapierhandelsgesetz (WpHG), WpHG-Mitarbeiteranzeigenverordnung (WpHGMAAnzV), investment firms

FS-Branche(n)

Banking & Capital Markets, Insurance, Asset & Wealth Management

Themen

Knowledge Transfer FS
Risk & Regulation
Sustainability
X-Financial Services

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Content Type(s)

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