

PwC Plus Article

By ESMA - European Securities and Markets Authority | 13. Juli 2021

ESMA warns firms and investors about risks arising from payment for order flow

ESMA is of the view that, in most cases, it is unlikely that the receipt of PFOF by firms from third parties would be compatible with MiFID II.

Schlagwörter

Bankenaufsicht (Europäische und Internationale Organisationen), Compliance, MiFID II

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority