

PwC Plus Article

By ESMA - European Securities and Markets Authority | 11. Juli 2023

ESMA updates its guidance on the definition of advice in a supervisory briefing

ESMA reviewed and updated the CESR Q&A on Understanding the Definition of Advice under MiFID, a document that is widely used by supervisors and firms, to align it with new business models and recent technological developments.

Schlagwörter

Anlageberatung, Compliance, Finanzmarkt-Richtlinie, MiFID, MiFID II, Wertpapierhandelsgesetz (WpHG)

FS-Branche(n)

Banking & Capital Markets, Asset & Wealth Management

Themen

Risk & Regulation

Verfasser

ESMA - European Securities and Markets Authority