

PwC Plus Article

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Enforcement, sanctions and reporting breaches

Under its sanctioning powers, the ECB has so far focused on breaches regarding capital requirements, large exposures, liquidity risks, reporting obligations and governance. By end-2017, it had imposed five penalties and submitted 12 requests to NCAs to open proceedings.

Keywords

Bankenaufsicht (Europäische und Internationale Organisationen), Single Supervisory Mechanism (SSM)

FS-sector(s)

Banking & Capital Markets

Topics

Risk & Regulation

Issuing Body

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