

PwC Plus Article

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ESMA publishes MiFID II Supervisory Briefing on Suitability

The purpose of this supervisory briefing is to promote common supervisory approaches and practices in the application of the MiFID II suitability rules.

Keywords

Compliance, MiFID II

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority