

PwC Plus Article

By ESMA - European Securities and Markets Authority | 04.04.2019

ESMA issues first pan-EU overview of use of supervisory sanctions for UCITS

The report provides an aggregated overview of the penalties and measures issued under the UCITS Directive for 2016/2017, based on data submitted to ESMA by NCAs.

Keywords

Compliance, UCITS / OGAW

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority