

PwC Plus Article

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ESMA addresses derivatives trading obligation concerns following entry into force of EMIR Refit

The statement advises NCAs not to prioritise their supervisory actions in relation to the DTO towards counterparties exempted from the CO following the entry into force of EMIR Refit.

Keywords

Compliance, Derivate, EMIR (European Markets Infrastructure Regulation), MiFID, Trading

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority