

PwC Plus Article

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ESMA publishes proposals on CRAs internal control functions

The proposed Guidelines set out ESMA's views on the components and characteristics that CRAs should have in place to demonstrate the presence of an effective framework for internal controls and effective internal control functions.

Keywords

Compliance, Ratingagenturen (International)

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority