

PwC Plus Article

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ESMA's supervision focuses on outstanding credit ratings, data quality and third country CCPs

ESMA is now in its tenth year as a pan-European supervisor and we have continued to progress in our supervision of CRAs and TRs and effect improvements in CRAs and TRs conduct as seen in our recent work on fees, Brexit contingency plans, review of CLO credit ratings and TR data quality.

Keywords

Benchmark, CCP (Central Counter Party), Central Securities Depositories (CSD) / Zentralverwahrer, Compliance, Notfallplan, Ratingagenturen (International), Securities financing transactions (SFTs), Securitisation / Verbriefung, Trading

FS-sector(s)

Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority