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PwC Plus Article

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ESMA clarifies position on call taping under MIFID II

Firms are expected to deploy all possible efforts to ensure that the above measures remain temporary and that recording of telephone conversations is restored as soon as possible.

Keywords

Coronavirus (COVID-19), Finanzmarkt, MiFID II, Wertpapieraufsicht (International)

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority