

PwC Plus Article

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ESMA consults on OTF regime

The paper provides an overview of the functioning of the OTF regime and discusses the definition of OTFs, the use of discretion in the execution of orders and the current practice concerning the use of matched principal trading.

Keywords

Compliance, Finanzinstrumente, Finanzmarkt, Handelssysteme, Trading

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority