

PwC Plus Article

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ESMA publishes draft rules for third-country firms under new MiFIR and MiFID II regimes

These changes include new reporting requirements for third-country firms to ESMA on an annual basis, and include the possibility for ESMA to ask third-country firms to provide data relating to all orders and transactions in the EU.

Keywords

Berichterstattung / Reporting, Compliance, MiFID II, MiFIR

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority