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ESMA reminds firms of the MiFID II rules on reverse solicitation

Reminder to firms of the MiFID II rules on 'reverse solicitation' in the context of the recent end of the UK transition period

Keywords

Brexit, Compliance, MiFID II, Wertpapier

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority