

PwC Plus Article

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ESMA consults on appropriateness and execution-only under MiFID II

These requirements constitute an important element of investor protection in the provision of investment services other than investment advice or portfolio management.

Keywords

Compliance, Framework, MiFID II

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority