

PwC Plus Article

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ESMA launches a Common Supervisory Action with NCAs on MiFID II product governance rules

ESMA believes this initiative, and the related sharing of practices across NCAs, will help ensure consistent implementation and application of EU rules and enhance the protection of investors in line with ESMA's objectives.

Keywords

Compliance, MiFID, MiFID II

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority