

PwC Plus Article

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ESMA publishes second Annual Report on waivers and deferrals for non-equity instruments

This report includes an analysis of the waivers for non-equity instruments for which ESMA issued an opinion to the NCAs in the period between 1 January and 31 December 2019.

Keywords

Aktien, Compliance, MiFID, MiFIR, Waiver-Regelung

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority