

PwC Plus Article

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ESMA advises the European Commission on the application of sanctions under MiFID II/MiFIR

The technical advice addresses the application of administrative and criminal sanctions, and particularly the need to further harmonise the administrative sanctions set out for infringements of MiFID II/MiFIR requirements.

Keywords

Finanzsanktionen, MiFID II, MiFIR

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority