

PwC Plus Article

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ESMA finds NCAs imposed sanctions of €8.4 million for MiFID II breaches in 2020

NCAs submit data on the use of sanctions to ESMA, which forms the basis of the annual aggregated report.

Keywords

Anlegerschutz, Compliance, Finanzinstrumente, MiFID II

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority