

PwC Plus Article

By ESMA - European Securities and Markets Authority | 20.07.2021

ESMA publishes second report on sanctions under AIFMD

The report published today contains an overview of the applicable legal framework and information on the penalties and measures imposed by NCAs from 1 January 2020 to 31 December 2020.

Keywords

Alternative Investment Fund Managers Directive (AIFMD), Alternative Investmentfonds (AIF), Compliance, Finanzsanktionen, Fonds

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority