

PwC Plus Article

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EBA and ESMA consult on framework for the supervisory review and evaluation process of investment firms (EBA/CP/2021/34 and EBA/CP/2021/35)

The European Banking Authority (EBA) and the European Securities and Markets Authority (ESMA) launched today a public consultation on their Guidelines on common procedures and methodologies for the supervisory review and evaluation process (SREP).

Keywords

Asset & Wealth Management Aufsicht, Bankenaufsicht (Europäische und Internationale Organisationen), Compliance, Eigenmittel / Eigenkapital, Liquiditätsrisiken, Supervisory Review and Evaluation Process (SREP), Wertpapieraufsicht (International), investment firms

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

EBA - European Banking Authority