

PwC Plus Article

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ESMA objects to European Commission's proposed amendments in its draft ITS on insider lists

The ESMA publishes two Opinions regarding the amendments proposed by the EC to RTS on liquidity contracts for SME Growth Market (GM) issuers and the ITS on insider lists initially adopted by ESMA in October 2020.

Keywords

Compliance, Liquidität, Marktmissbrauch (International), MiFID, Small and Medium Enterprises (SME), Trading, Transparenz, Wertpapieraufsicht (International), Wettbewerb, investment firms

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation

Issuing Body

ESMA - European Securities and Markets Authority