

PwC Plus Article

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ESMA publishes final guidelines on MiFID II suitability requirements

The assessment of suitability is one of the most important requirements for investor protection in the MiFID framework.

Keywords

Anlageberatung, Asset & Wealth Management Aufsicht, Finanzportfolioverwaltung, Framework, Investment Management, Investmentbranche, MiFID II, Sustainability Risk, Sustainable Finance (SF)

FS-sector(s)

Banking & Capital Markets, Asset & Wealth Management

Topics

Risk & Regulation
Sustainability

Issuing Body

ESMA - European Securities and Markets Authority