

## PwC Plus Article

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# National regulators strengthen their supervision of the compliance function

**The NCAs included in this follow-up report – CySEC (CY), HCMC (EL), CBI (IS), AFM (NL) and ATVP (SI) – have made progress by strengthening their supervisory frameworks, undertaking investigations and thematic reviews and making use of their enforcement tools to deter poor behaviour by firms.**

**Keywords**

Compliance, MiFID, Wertpapieraufsicht (International)

**FS-sector(s)**

Banking & Capital Markets, Asset & Wealth Management

**Topics**

Risk & Regulation

**Issuing Body**

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